



Nigeria Sustainable Urban and Rural Water Supply, Sanitation and Hygiene Program-for-Results (P170734)

AFRICA WEST | Nigeria | Water Global Practice | Requesting Unit: AWCW2 | Responsible Unit: SAWW1
IBRD/IDA | Program-for-Results Financing | FY 2021 | Team Leader(s): Antonio Manuel Rodriguez Serrano, Gerhardus Nicolaas Albertus Soppe

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Program Development Objectives

Program Development Objective (from Program Appraisal Document)

The Project Development Objectives (PDO) are to increase access to water, sanitation, and hygiene services and to strengthen sector institutions in participating states of Nigeria.

Overall Ratings

Name	Previous Rating	Current Rating
Progress towards achievement of PDO	--	<input type="checkbox"/> Satisfactory
Overall Implementation Progress (IP)	--	<input type="checkbox"/> Satisfactory

Implementation Status and Key Decisions

The program is in its initial stage of implementation. Preparations are being made on the elements required for the effectiveness criteria to allow for the program to actually start with implementation.

Data on Financial Performance**Disbursements (by loan)**

Project	Loan/Credit/TF	Status	Currency	Original	Revised	Cancelled	Disbursed	Undisbursed	% Disbursed
P170734	IDA-68820	Not Effective	USD	700.00	700.00	0.00	0.00	713.67	0%

Key Dates (by loan)

Project	Loan/Credit/TF	Status	Approval Date	Signing Date	Effectiveness Date	Orig. Closing Date	Rev. Closing Date
P170734	IDA-68820	Not Effective	25-May-2021	--	--	30-Jun-2027	30-Jun-2027

Program Action Plan

Action Description	Establishment of SPIUs, including at least a coordinator and specialists in procurement, financial management, environmental, social and inclusion, planning, and M&E, as well as technical staff. Implementation of capacity building program.				
Source	DLI#	Responsibility	Timing	Timing Value	Status



Technical		State PIUs	Other	3 months after effectiveness or prior to disbursement, whichever is earlier	In Progress
Completion Measurement	SPIUs est. with required staff (w/ clear ToRs) by 3 mths after effectiveness or prior to disbursement for any state, whichever is earlier. Staff maintained through implementation. Training module & implementation support supervision report of WB team.				
Comments					

Action Description	Prepare Program Operational Manual (POM), with comprehensive guidelines for E&S due diligence and core inclusion activities, such as gender, SEP, SEA/H, resettlement and protection of vulnerable groups. Use POM for all implementation.				
Source	DLI#	Responsibility	Timing	Timing Value	Status
Technical		Federal/State PIUs	Other	Prior to effectiveness	In Progress
Completion Measurement	POM completed and disseminated to stakeholders prior to effectiveness. POM adopted by SPIUs prior to disbursement. Associated training provided, guidelines operationalized, and relevant POM requirements are applied to all Program activities.				
Comments					

Action Description	Hire the IVA to verify DLIs annually against verification protocol and conduct quarterly monitoring of progress on E&S risk management, particularly regarding the compliance of Program activities with the PAP & E&S due diligence, and ACG.				
Source	DLI#	Responsibility	Timing	Timing Value	Status
Technical		Federal/State PIUs	Other	90 days after effectiveness; Every 3 months during Program implementation	In Progress
Completion Measurement	IVA hired with Bank-accepted contract/TORs no later than 90 days after effectiveness. Submit qtlly reports to Bank including progress of implem. of actions & compliance with E&S risk management & anti-corruption guidelines. E&S due diligence per POM.				
Comments					

Action Description	Ensure that a robust E&S screening mechanism is in place and guides E&S management of proposed interventions throughout implementation, supported by a comprehensive manual which will include inter alia the Exclusion List (to be included in the POM).				
Source	DLI#	Responsibility	Timing	Timing Value	Status



Environmental and Social Systems		Federal/State PIUs	Other	Prior to effectiveness	In Progress
Completion Measurement	Screening mechanism manual prepared and submitted to the Bank prior to effectiveness. IVA to submit quarterly reports to the Bank throughout implementation.				
Comments					

Action Description	Establish a strong grievance redress mechanism (GRM) system to ensure that the stakeholders are well sensitized ahead of any implementation.				
Source	DLI#	Responsibility	Timing	Timing Value	Status
Environmental and Social Systems		SPIUs, Implementing Agencies	Other	Prior to start of activities in relevant state	Not Yet Due
Completion Measurement	Appropriate GRM protocol and staffing are in place.				
Comments					

Action Description	Establish a gender-based violence (GBV) response committee at the state level to proactively create a safe place for all gender related issues.				
Source	DLI#	Responsibility	Timing	Timing Value	Status
Environmental and Social Systems		SPIUs, Implementing Agencies	Other	Prior to start of activities in relevant state	Not Yet Due
Completion Measurement	First minutes of committee meeting, including committee composition, satisfactory to the Bank.				
Comments					

Action Description	Preparation and submission of consolidated calendar semester program financial reports.				
Source	DLI#	Responsibility	Timing	Timing Value	Status
Fiduciary Systems		FPIU	Other	45 days after the end of the relevant calendar semester	Not Yet Due
Completion Measurement	SPIUs prepare and submit program financial reports to the FPIU, and the FPIU consolidates the reports with its own and submits to the Bank.				
Comments					

Action Description	Protocol adopted and implemented for reporting, management and investigation of fraud and corruption allegations operationalized in MDAs implementing SURWASH program.				
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Source	DLI#	Responsibility	Timing	Timing Value	Status
Fiduciary Systems		FPIU	Other	Prior to effectiveness; Semi-annually	In Progress
Completion Measurement	Protocol adopted by FPIU prior to effectiveness. Report on fraud and corruption allegations submitted bi-annually as part of Program implementation progress report.				
Comments					

Action Description	Finalization and adoption of a 'Funds Release policy' for improved predictability of funds flow to MDAs implementing SURWASH.				
Source	DLI#	Responsibility	Timing	Timing Value	Status
Fiduciary Systems		Min. Budget and Planning; Min. Finance	Other	By effectiveness	In Progress
Completion Measurement	Funds Release policy finalized and MDAs BERs showing cumulative budget releases against appropriations.				
Comments					

Risks

Systematic Operations Risk-rating Tool

Risk Category	Rating at Approval	Previous Rating	Current Rating
Political and Governance	<input type="checkbox"/> Substantial	--	<input type="checkbox"/> Substantial
Macroeconomic	<input type="checkbox"/> Substantial	--	<input type="checkbox"/> Substantial
Sector Strategies and Policies	<input type="checkbox"/> Moderate	--	<input type="checkbox"/> Moderate
Technical Design of Project or Program	<input type="checkbox"/> Substantial	--	<input type="checkbox"/> Substantial
Institutional Capacity for Implementation and Sustainability	<input type="checkbox"/> Moderate	--	<input type="checkbox"/> Moderate
Fiduciary	<input type="checkbox"/> Substantial	--	<input type="checkbox"/> Substantial
Environment and Social	<input type="checkbox"/> Substantial	--	<input type="checkbox"/> Substantial
Stakeholders	<input type="checkbox"/> Moderate	--	<input type="checkbox"/> Moderate
Other	<input type="checkbox"/> Substantial	--	<input type="checkbox"/> Substantial
Overall	<input type="checkbox"/> Substantial	--	<input type="checkbox"/> Substantial

Comments

Other risks that were identified: **Insecurity, COVID-19 pandemic restrictions, and civil protests and sporadic violence across the country:** These risks are rated **Substantial**. During the first surge of the pandemic, a national lockdown and restrictions on movements were put in place that lasted over two months. There is a risk that a new wave of infections in the future could result in another round of lockdowns and restrictions. Additionally, there has been nation-wide protests against police brutality, some of which turned violent with many government offices



vandalized. Given that poverty, inequality and youth unemployment are the underlying motivations for protests and vandalism, the risk from future civil protests to the Program is substantial.

Perceptual and reputational risks: This risk is rated **Moderate**. The substantial increase in financing and attention to a sector that has historically been marginalized is likely to attract the attention of the media and CSOs, increasing pressure on the Government to deliver on the reform agenda. Prior shortcomings in the sector – such as the non-functionality of water supply infrastructure – have impacted public trust in what the Government can achieve and is therefore likely to adversely impact the perception of the Program's ability to deliver sustainable services.

Results

PDO Indicators by Objectives / Outcomes

Increase access to water, sanitation, and hygiene services and strengthen sector institutions				
▶ States achieving PIR plan targets (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	--	0.00	7.00
Date	25-May-2021	--	18-Aug-2021	30-Jun-2027
Comments	The indicator tracks the number of states that achieve the PIR plan targets annually.			
▶ People provided with basic drinking water service under the Program (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	--	0.00	6,100,000.00
Date	25-May-2021	--	18-Aug-2021	30-Jun-2027
Comments	The indicator measures the cumulative number of people accessing basic drinking water services under the Program. This is a disbursement-linked indicator. More information is provided in the verification protocol.			
□ People provided with basic drinking water service under the Program - female (Number, Custom Breakdown)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	--	0.00	3,007,300.00
Date	25-May-2021	--	18-Aug-2021	30-Jun-2027
□ People provided with basic drinking water service under the Program - rural (Number, Custom Breakdown)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	--	0.00	4,473,333.00
Date	25-May-2021	--	18-Aug-2021	30-Jun-2027
□ People provided with basic drinking water service under the Program - urban and small towns (Number, Custom Breakdown)				
	Baseline	Actual (Previous)	Actual (Current)	End Target



Value	0.00	--	0.00	1,626,667.00
Date	25-May-2021	--	18-Aug-2021	30-Jun-2027
<input type="checkbox"/> Performance improvement of state Water Supply implementing agencies (Yes/No, Custom Supplement)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	No	--	No	Yes
► People provided with access to improved sanitation services (Number, Corporate)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	--	0.00	1,400,000.00
Date	25-May-2021	--	18-Aug-2021	30-Jun-2027
Comments	The indicator measures the cumulative number of people who benefited from improved sanitation facilities that have been constructed through operations supported by the World Bank.			
<input type="checkbox"/> People provided with access to improved sanitation services - Female (RMS requirement) (Number, Corporate Supplement)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	--	0.00	690,200.00
<input type="checkbox"/> People provided with access to improved sanitation services - rural (Number, Corporate Supplement)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	--	0.00	1,150,000.00
<input type="checkbox"/> People provided with access to improved sanitation services - urban (Number, Corporate Supplement)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	--	0.00	250,000.00
► Schools and healthcare facilities with improved water supply, sanitation and handwashing facilities constructed or rehabilitated (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	--	0.00	2,000.00
Date	25-May-2021	--	18-Aug-2021	30-Jun-2027
Comments	The indicator measures the number of schools and healthcare facilities provided with full WASH packages under the Program. Full WASH packages entail newly constructed or rehabilitated water supply and sanitation facilities that meet the definition of improved and adhere to the technical specifications of state and/or federal government, as defined in the POM, including access to handwashing facilities, separate compartments for males and females and accessibility for people living with disabilities. This is a disbursement-linked indicator. More information is provided in the verification protocol.			
<input type="checkbox"/> Schools and healthcare facilities with improved water supply, sanitation and handwashing facilities constructed or rehabilitated - rural (Number, Custom Breakdown)				
	Baseline	Actual (Previous)	Actual (Current)	End Target



Value	0.00	--	0.00	1,500.00
Date	25-May-2021	--	18-Aug-2021	30-Jun-2027
<input type="checkbox"/> Schools and healthcare facilities with improved water supply, sanitation and handwashing facilities constructed or rehabilitated - urban and small towns (Number, Custom Breakdown)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	--	0.00	500.00
Date	25-May-2021	--	18-Aug-2021	30-Jun-2027
► Communities having achieved and/or maintained community-wide sanitation status (declared and verified as ODF+) (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	--	0.00	500.00
Date	25-May-2021	--	18-Aug-2021	30-Jun-2027
Comments	This indicator measures the number of communities achieving or maintaining open defecation status (ODF+). This is a disbursement-linked indicator. More information is provided in the verification protocol.			
<input type="checkbox"/> Communities having achieved and/or maintained community-wide sanitation status (declared and verified as ODF+) - rural (Number, Custom Breakdown)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	--	0.00	375.00
Date	25-May-2021	--	18-Aug-2021	30-Jun-2027
<input type="checkbox"/> Communities having achieved and/or maintained community-wide sanitation status (declared and verified as ODF+) - urban and small towns (Number, Custom Breakdown)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	--	0.00	125.00
Date	25-May-2021	--	18-Aug-2021	30-Jun-2027

Intermediate Results Indicators by Results Areas

Results Area 1: Strengthened sector policies and institutions for improved services				
► Share of workers managing public sanitation facilities built under the Program who are persons with disabilities/other group identified as excluded (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	--	0.00	10.00
Date	25-May-2021	--	18-Aug-2021	30-Jun-2027



Comments	The indicator tracks the proportion of workers who manage public sanitation facilities built under the Program who are persons with disabilities or other group identified as excluded by the project			
► Proportion of WASHCOMs supported under the Program with at least one woman holding a leadership position (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	--	0.00	30.00
Date	25-May-2021	--	18-Aug-2021	30-Jun-2027
Comments	The indicator tracks the proportion of WASHCOMs supported under the Program with at least one woman holding a leadership position. Data regarding the number of women in leadership positions in WASHCOMs is limited. Anecdotal data suggests that women rarely hold leadership positions and instead hold the treasurer's position.			
► Share of newly created engineering or technical positions filled by women through internal promotion or external recruitment among service providers participating in the Program (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	--	0.00	40.00
Date	25-May-2021	--	18-Aug-2021	30-Jun-2027
Comments	The indicator tracks the share of newly created engineering or technical positions filled by women through internal promotion or external recruitment among service providers participating in the Program.			
► Grievances registered and addressed in a timely manner under the Program (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	--	0.00	80.00
Date	25-May-2021	--	18-Aug-2021	30-Jun-2027
Comments	The indicator measures the ratio of total complaints that have been resolved over total grievances submitted.			
► National WASH Fund established and operational (Yes/No, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	No	--	No	Yes
Date	25-May-2021	--	18-Aug-2021	30-Jun-2027
Comments	This indicator tracks the establishment and operationalization of the National WASH Fund by the FMWR providing financial support to state level investments in WASH services.			

Results Area 2: Improved access to water supply, sanitation and hygiene services

► Population using basic water supply services (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	75.20	--	75.20	88.30
Date	25-May-2021	--	18-Aug-2021	30-Jun-2027



Comments	The indicator measures the proportion of the population using basic water supply services for the 7 participating states. These account for population growth of 2.6% per year and underlying coverage increases of 1% for basic water using JMP trends [RF Coverage assumptions].			
► Population using improved sanitation (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	69.30	--	69.30	72.30
Date	29-Apr-2021	--	18-Aug-2021	30-Jun-2027
Comments	The indicator measures the proportion of the population using improved sanitation for the 7 participating states. These account for population growth of 2.6% per year and underlying coverage increases of 0.5% for improved sanitation using JMP trends [RF Coverage assumptions].			
► Households with improved sanitation facilities constructed or rehabilitated under the Program (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	--	0.00	280,000.00
Date	25-May-2021	--	18-Aug-2021	30-Jun-2027
Comments	The indicator measures the number of households with improved sanitation facilities constructed or rehabilitated under the Program. Household improved sanitation facilities include (i) Flush toilet connected to a septic system in urban areas or (ii) Double pit pour-flush latrines in rural areas. Facilities must include a superstructure and a handwashing station and adhere to technical standards and criteria as defined in the POM. This is a disbursement-linked indicator. More information is provided in the verification protocol.			
<input type="checkbox"/> Households with improved sanitation facilities constructed or rehabilitated under the Program - rural (Number, Custom Breakdown)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	--	0.00	230,000.00
Date	25-May-2021	--	18-Aug-2021	30-Jun-2027
<input type="checkbox"/> Households with improved sanitation facilities constructed or rehabilitated under the Program - urban and small towns (Number, Custom Breakdown)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	--	0.00	50,000.00
Date	25-May-2021	--	18-Aug-2021	30-Jun-2027
<input type="checkbox"/> Performance improvement of state sanitation implementing agencies (Yes/No, Custom Supplement)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	No	--	No	Yes
► People with access to sustainably functioning water service (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	--	0.00	3,575,000.00



Date	25-May-2021	--	18-Aug-2021	30-Jun-2027
Comments	This indicator measures the number of people provided with a sustainably functioning water service under the Program. A sustainably functioning water service adheres to technical standards and criteria as defined in the POM. The number of people with access shall be estimated using the average number of people served by the drinking water service. This is a disbursement-linked indicator. More information is provided in the verification protocol.			
► Public places provided with WASH facilities (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	--	0.00	400.00
Date	25-May-2021	--	18-Aug-2021	30-Jun-2027
Comments	The indicator measures the number of public places provided with full WASH packages under the Program. Full WASH packages entail newly constructed or rehabilitated water supply and sanitation facilities that meet the definition of improved and adhere to the technical specifications of state and/or federal government, as defined in the POM, including access to handwashing facilities, separate compartments for males and females and accessibility for people living with disabilities.			
► Utilities achieving at least 10 percent average increase in energy efficiency/reduction in water loss (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	--	0.00	5.00
Date	25-May-2021	--	18-Aug-2021	30-Jun-2027
Comments	The indicator tracks the number of utilities participating in the Program which achieve at least 10 percent average increase in energy efficiency/reduction in water loss			
► Share of rural and small town water supply beneficiaries using systems relying on renewable energy (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	--	0.00	60.00
Date	25-May-2021	--	18-Aug-2021	30-Jun-2027
Comments	The indicator tracks the share of rural and small town water supply beneficiaries which use systems relying on renewable energy.			
► Water supply systems with regular water quality and quantity monitoring to improve resilience of populations in climate-vulnerable areas within Program LGAs (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	--	0.00	70.00
Date	25-May-2021	--	18-Aug-2021	30-Jun-2027
Comments	The indicator tracks the proportion of water supply systems with regular water quality and quantity monitoring to improve resilience of populations in climate-vulnerable areas.			

Disbursement Linked Indicators

► DLI 1 Design of National WASH Fund to enable its establishment (Output, 5,000,000.00, 0%)
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	Baseline	Actual (Previous)	Actual (Current)	2027
Value	No	--	No	Yes
Date	--	--	18-Aug-2021	--
Comments				

► DLI 2 Design, adoption and implementation of State PIR Plans and achievement of annual targets (Output, 35,000,000.00, 0%)

	Baseline	Actual (Previous)	Actual (Current)	2027
Value	0.00	--	0.00	42.00
Date	--	--	18-Aug-2021	--
Comments				

► DLI 3 People provided with basic drinking water service under the Program (Intermediate Outcome, 233,500,000.00, 0%)

	Baseline	Actual (Previous)	Actual (Current)	2027
Value	0.00	--	0.00	6,100,000.00
Date	--	--	18-Aug-2021	--
Comments				

□ DLI 3.1 Performance improvement of state Water Supply implementing agencies (Intermediate Outcome, 52,500,000.00, 0%)

Unit of Measure: Number
Indicator Type:

	Baseline	Actual (Previous)	Actual (Current)	2027
Value	0.00	--	0.00	42.00
Date	--	--	18-Aug-2021	--
Comments				

► DLI 4 People with access to sustainably functioning water service (Intermediate Outcome, 33,300,000.00, 0%)

	Baseline	Actual (Previous)	Actual (Current)	2027
Value	0.00	--	0.00	3,575,000.00
Date	--	--	18-Aug-2021	--
Comments				



► DLI 5 Households with improved sanitation facilities constructed or rehabilitated under the Program (Intermediate Outcome, 156,050,000.00, 0%)				
	Baseline	Actual (Previous)	Actual (Current)	2027
Value	0.00	--	0.00	280,000.00
Date	--	--	18-Aug-2021	--
Comments				
<input type="checkbox"/> DLI 5.1 Performance improvement of state sanitation implementing agencies (Intermediate Outcome, 52,500,000.00, 0%) Unit of Measure: Number Indicator Type:				
	Baseline	Actual (Previous)	Actual (Current)	2027
Value	0.00	--	0.00	42.00
Date	--	--	18-Aug-2021	--
Comments				
► DLI 6 Communities having achieved community-wide sanitation status (ODF+) or number of ODF+ communities having maintained their status (Intermediate Outcome, 16,650,000.00, 0%)				
	Baseline	Actual (Previous)	Actual (Current)	2027
Value	0.00	--	0.00	500.00
Date	--	--	18-Aug-2021	--
Comments				
► DLI 7 Schools and healthcare facilities with functional, improved water supply, sanitation and handwashing facilities constructed or rehabilitated under the program (Intermediate Outcome, 55,500,000.00, 0%)				
	Baseline	Actual (Previous)	Actual (Current)	2027
Value	0.00	--	0.00	2,000.00
Date	--	--	18-Aug-2021	--
Comments				